

Sheet 1 of 1

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 10559-370001	Application No. 09/768,374
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Hemal V. Shah et al.	
		Filing Date January 22, 2001	Group Art Unit 2154

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
X	AA	5,619,650	04/08/1997	Bach et al.	—	—	
X	AB	2001/0034782 A1	10/25/2001	Kinkade	—	—	
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation Yes No
	AL						
	AM						
	AN						
	AO						
	AP						

Other Documents (include Author, Title, Date, and Place of Publication)							
Examiner Initial	Desig. ID	Document					
	AQ						
	AR						
	AS						
	AT						

Examiner Signature 	Date Considered 6/9/03
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Disclosure Form (PTO-1449)

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U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	2002/0072980 A1	06/2002	Dutta, Rabindranath	—	—	
	AB	6,549,937	04/2003	Auerbach et al.	—	—	
	AC	6,754,696	06/2004	Kamath et al.	—	—	

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation Yes No
	AD						
	AE						

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AF	Cisco Systems, Cisco Local Director. http://www.cisco.com/warp/public/cc/pd/si/1000/i
	AG	Camarda et al. "Performance Evaluation of TCP/IP Protocol Implementation in End Systems", IEEE Proc. Comput. Digit. Tech. Vol. 146, Jan 1999
	AH	Edith Cohen et al., "Managing TCP Connections under Persistent HTTP", Proc. Of the Eighth International World Wide Web Conf., 1999
	AI	D. Dunning G. Regnier, G. McAlpine et al., "The Virtual Interface Architecture", IEEE Micro, Vol. 3, No. 2, pp. 66-76, 1998
	AJ	A. Fox et al., "Cluster-Based Scalable Network Services", Proc. Of the sixteenth ACM Symp. On Operating systems principles, pp. 78-91, 1997
	AK	Infiniband Arch. Spec. Vol. 1, Rel. 1.0a
	AL	Infiniband Arch. Spec. Vol 2. Rel. 1.0a
	AM	H. Shah, C. Pu, and R. Madukkarumukumana, " High Performance Sockets and RPC over Virtual Interface (VI) Architecture", In Proc. Third Intl. Workshop on Communication, Architecture, and Applications for Network Based Parallel Computing, pp. 91-107, 1999
	AN	Evan Speight, Hazim Abdel-Shafii, and John K. Bennett, "Realizing the Performance Potential of the Virtual Interface Architecture", In Proc. Of the 13 th ACM-SIGARCH International Conference on Supercomputing, June 1999
	AO	Oliver Spatscheck et al., "Optimizing TCP Forwarder Performance", In IEEE/ACM Tran. Of Networking, Vol. 8, No. 2, April 2000
	AP	Virtual Interface Architecture Developer Guide, Intel Corporation, Revision 1.0, September 9, 1998
	AQ	G. Welling, M. Ott, and S. Mathur, "CLARA: A Cluster-Based Active Router Architecture", Hot Interconnects 8, pp. 53-60, 2000
	AR	Windows Sockets Direct Path for System Area Networks, Microsoft Corporation, 2000

Examiner Signature 	Date Considered 6/9/06
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		Filing Date January 22, 2001	Group Art Unit 2154

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Design. ID	Document
DC	AS	Alacritech, Alacritech Server Network Adapters, http://www.alacritech.com/html/products.html
	AT	Direct Access File Systems (DAFS). http://www.dafscollaborative.org
	AU	Alteon WebSystems, Alteon Web Switching Products. http://www.alteonwebsystems.com/products/
	AV	Intel IXP1200 Network Processor. http://developer.intel.com/design/network/products/npfamily/ixp1200.htm
	AW	ArrowPoint Communications, ArrowPoint Content Smart Web Switches. http://www.arrowpoint.com/produts/index.html
	AX	F5 Networks, BIG-IP Products. http://www.f5labs.com/f5products/bigip
	AY	Giganet, Inc., Giganet cLAN Product Family. http://www.giganet.com/products/
	AZ	Interprophet Corporation. http://www.interprophet.com/
	AAA	Netscaler, WebScaler Internet Accelerator. http://www.netscaler.com/products.html
	ABB	Alteon WebSystems, Next Generation Adapter Design and Optimization for Gigabit Ethernet.
DC	ACC	Hemal V. Shah et al., "CSP: A Novel System Architecture for Scalable Internet and Communication Services", Proceedings of the 3 rd USENIX Symposium on Internet Technologies and Systems, March 26-28, 2001 p. 61-72

Examiner Signature 	Date Considered 6/9/05
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